Dealing with Uncertainties in Fusion Power Plant Conceptual Development

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Although the ultimate goal of most current fusion research is to build an economically attractive power plant, the present status of physics and technology does not provide the performance necessary to achieve this goal. Therefore, in order to model how such plants may operate and what their output might be, extrapolations must be made from existing experimental data and technology. However, the expected performance of a plant built to the operating point specifications can only ever be a “best guess”. Extrapolations far beyond the current operating regimes are necessarily uncertain, and some important interactions, for example the coupling of conducted power from the scrape-off layer to the divertor surface, lack reliable predictive models. This means both that the demands on plant systems at the target operating point can vary significantly from the nominal value, and that the overall plant performance may potentially fall short of design targets.

Two questions must therefore be answered: what is it most important to know accurately? and, if we do build a power plant to a particular design, what is the expected performance as opposed to the design performance? Answering the first question leads to the ability to focus research in those particular areas of maximum leverage. Answering the second question allows the identification of a design point which can be robustly expected to meet performance requirements and thus provides a stable basis for further engineering design work. We can also explore additional operating scenarios within a fixed machine design which might restore performance, albeit at higher risk.

In this contribution we discuss tools and techniques that have been developed to assess the robustness of the operating points for the EU-DEMO tokamak-based demonstration power plant, and the consequences on its design. These tools fall into two main categories: ways of assessing the uncertainty of performance of a particular operating point, and a “risk register” which records the main potential issues and their consequences on design decisions or plant output. The consequences for plant design, if the uncertainties cannot be resolved and expected performance must be downgraded before the design is finalized, and plant output, if actual performance is not equal to that assumed at the final design stage, are included. The aim is to make explicit the design choices and areas where improved modelling and DEMO-relevant experiments will have the greatest impact on confidence in a successful DEMO design.

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Dealing with uncertainties in fusion power plant conceptual development

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Abstract:
Although the ultimate goal of most current fusion research is to build an economically attractive power plant, the present status of physics and technology does not provide the performance necessary to achieve this goal. Therefore, in order to model how such plants may operate and what their output might be, extrapolations must be made from existing experimental data and technology. However, the expected performance of a plant built to the operating point specifications can only ever be a “best guess”. Extrapolations far beyond the current operating regimes are necessarily uncertain, and some important interactions, for example the coupling of conducted power from the scrape-off layer to the divertor surface, lack reliable predictive models. This means both that the demands on plant systems at the target operating point can vary significantly from the nominal value, and that the overall plant performance may potentially fall short of design targets.

In this contribution we discuss tools and techniques that have been developed to assess the robustness of the operating points for the EU-DEMO tokamak-based demonstration power plant, and the consequences for its design. The aim is to make explicit the design choices and areas where improved modelling and DEMO-relevant experiments will have the greatest impact on confidence in a successful DEMO design.

1 Introduction

The ultimate goal of research into fusion for energy is to supply electricity economically, sustainably, and safely. At some point we must demonstrate that fusion is a credible energy source. The target of the EU DEMO strategy is that DEMO should demonstrate significant net electrical power for significant time; tritium self-sufficiency; and achieve a functional lifetime demonstration of all relevant supporting technology including divertor, remote handling systems, etc. \[\text{II}\]. A target of 500 MW net electrical power and pulse length of 2 hrs are considered sufficient to provide a margin to accomplish these goals.
allowing for the inevitable variation between initial design and final operation. These choices are explored more fully in reference [2]. The DEMO design point will build on ITER, which should demonstrate robust burning plasma physics regimes using a conventional divertor, and the validity of breeding blanket technologies. The assumption that ITER will be successful allows the design of an “early DEMO” with well-established technology and regimes of operation.

However, there are significant technical hurdles to overcome before DEMO can be built and electricity generated from fusion can be commercialised. In particular, a fusion power plant capable of supplying electricity reliably and economically is far beyond the scale of existing experimental machines, including ITER. To design a demonstration plant such as DEMO, we must extrapolate from current physics and engineering knowledge into areas where we cannot be confident that performance be as we expect at the design stage.

There are three approaches that can be taken to reducing this risk:

1. build in performance margins so that the nominal design targets are sufficiently in excess of the minimum acceptable performance;

2. perform research and development to reduce uncertainties in extrapolation;

3. reduce the minimum acceptable performance so that success can be claimed whatever is achieved.

Option 3 is clearly unacceptable in a major publicly-funded research programme; options 1 and 2 are complementary: as uncertainties in performance are reduced, performance margins can be narrowed, reducing the costs of the device in question. However in order to judge the appropriate margins and target research and development at the most effective areas, it is important to understand which physics and technology uncertainties have the largest effects on overall plant performance.

How these uncertainties propagate through plant design can depend on the integration between plant systems, and it may be that particular design choices can mitigate the effects of uncertainty – that is, the optimal design for which expected performance is robust against uncertainties may well not be the same as the design point found through optimisation based on a single set of assumed parameters. The interaction between these effects must be consistently captured and many design options explored.

System codes representing a fusion power plant capture the interactions between (usually relatively simple) models of all the important plant subsystems and are used to identify design points based on assumptions about the plasma performance and technology. Given the very large potential number of such design points, a single point for detailed assessment can be chosen by optimising a figure of merit such as major radius or pulse length. The systems code PROCESS [3, 4] has been used for past studies such as the European Power Plant Conceptual Study [5], and is now being used for the development of baseline designs to underpin EU DEMO design studies [2]. The purpose of using the systems code is to rapidly identify potential solution spaces without having to carry out complex analysis at every point. PROCESS is under continuous development to improve models and incorporate new data. The physics basis of DEMO is also under development to identify and investigate areas of significant uncertainty [6].
FIG. 1: As the EUROfusion Roadmap is restructured, there is opportunity to reconsider a wider variety of pre-conceptual design options in more detail, leading to a more robust down-selection and greater confidence in the final design [9]. On the left is the cross-section for EU-DEMO, with the major parameters in the centre. On the right is a double-null concept being evaluated.

In order to proceed with detailed conceptual development across the full range of (highly-integrated) DEMO systems, a relatively stable baseline design point is essential. This design point, based on well-assessed physics and technology models, provides the basic performance requirements and expectations from each system [7].

2 Propagating uncertainties through DEMO design

The EUROfusion Roadmap is currently being redrafted to take account of ITER delays [8] and advantage is being taken of this to expand the range of possible basic DEMO design points. As evaluation of these design points proceeds, less attractive and less achievable designs can be removed from the process (figure 1). Part of the evaluation must include not just the nominal performance and technical targets but also the robustness of the design against underperformance. For this a framework must be developed which systematically assesses the expected range of performance of a given design point, taking into account uncertainties in physics performance and technological abilities.

The approach to assessing the expected performance of a DEMO design is to attempt to answer the question: if we build it, how is it likely to behave? We begin by fixing, in the systems code, to parameters over which we expect to have good control, for example the radial build and toroidal magnetic field. Then the systems code is run many times, sampling the uncertain parameters from distributions which represent the ‘known unknowns’ about the system performance. Current work focuses mainly on physics parameters but this will be expanded in the future to take account of technological factors such as critical current density in the superconductors and the resulting variation in available field.
Some early results are shown in figure [2]. Here the plant parameters of interest are net electrical power output and burn time. The choice of uncertain variables is fully described in reference [10] but is summarised in Table I. These results, for initial estimates of the uncertainties, tend to show that the majority of possible outcomes will produce net electrical power in excess of 400 MW and a burn time of more than of 1 hr. However, the choice of uncertain parameters and the ranges of uncertainty are based on the judgement of the authors in most cases: it would be useful if modelling in these areas could help to fix these numbers more accurately.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Distribution</th>
<th>Mean</th>
<th>σ</th>
<th>DEMO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Upper bound on $n_e/n_G$</td>
<td>1/h Gaussian</td>
<td>1.2</td>
<td>0.1</td>
<td>1.2</td>
</tr>
<tr>
<td>Upper bound on H-factor</td>
<td>1/h Gaussian</td>
<td>1.2</td>
<td>0.1</td>
<td>1.1</td>
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<tr>
<td>Core radius for radiation correction</td>
<td>Gaussian</td>
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<td>0.15</td>
<td>0.6</td>
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<tr>
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<td>0.025</td>
<td>0.1</td>
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<tr>
<td>$n_e/n_G$</td>
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<td>$10^{-4}$</td>
<td>$5 \times 10^{-5}$</td>
<td>$5 \times 10^{-5}$</td>
</tr>
<tr>
<td>Maximum ratio of $P_{sep}/R$ (MW/m)</td>
<td>Gaussian</td>
<td>15</td>
<td>2</td>
<td>17</td>
</tr>
<tr>
<td>Lower bound on L-H threshold limit</td>
<td>Gaussian</td>
<td>1.0</td>
<td>0.25</td>
<td>1.0</td>
</tr>
<tr>
<td>Bootstrap current fraction multiplier</td>
<td>Gaussian</td>
<td>1.0</td>
<td>0.1</td>
<td>1.0</td>
</tr>
</tbody>
</table>

**TABLE I: Parameters and their variation for the uncertainties study [10]. Uncertainty distributions are mainly educated guesses, with the exception of the L-H threshold power for which confidence limits are given in [11].** (‘1/h Gaussian’ indicates ‘lower half Gaussian’.)

Single-parameter sensitivity studies have been conducted to explore the effects of varying values around the design point (Table II). Here the parameters with the largest impact are $H$, $\kappa_{95}$, and $(n_e/n_G)^{\kappa_{95}}$. One of these, $\kappa_{95}$, is a design parameter; the other two are functions of the transport physics of the plasma. The strong dependence on $\kappa_{95}$ implies that we must design to operate at the maximum achievable $\kappa$, but this is limited by the fragility of the DEMO first wall: high elongation values may not be achievable due to limitations of the available diagnostics and control systems to stabilise vertical movement. We must have confidence that the scenario is controllable, and further modelling may help here. The uncertainties in $H$ and density limit (above the Greenwald limit here due to expected peaking) can be reduced through careful DEMO-relevant modelling and experiments.

Work is continuing in this area to assess the inputs which have maximum impact on the output in the multi-dimensional analysis particularly those which interact to enhance or degrade performance further, and to extend the work to be able to optimise designs accounting for uncertainties to develop the most robust design points with acceptable performance.

### 3 Targeting robust designs

It is also important to carry out systematic sensitivity studies to help further ascertain which parameters have the largest impact on plant design and performance. A power
FIG. 2: Predicted performance of a plant built to the EU-DEMO operating point for uncertain physics parameters. Fusion gain $Q$ has been optimised. Nearly 90% of the points have acceptable output and burn time. As, in a real plant, the balance of plant could not operate much above design capacity there would be scope to trade off excess fusion power for additional burn time in the ‘overperforming’ cases [10].

<table>
<thead>
<tr>
<th>Parameter</th>
<th>$P_{\text{e,net}}$</th>
<th>$\tau_{\text{pulse}}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$-10%$</td>
<td>$+10%$</td>
<td>$-10%$</td>
</tr>
<tr>
<td>$H$</td>
<td>$-35%$ 27%</td>
<td>$-8%$ 15%</td>
</tr>
<tr>
<td>$\kappa_{05}$</td>
<td>$-75%$ 125%</td>
<td>$28%$ 7%</td>
</tr>
<tr>
<td>$\delta_{05}$</td>
<td>$-12%$ 13%</td>
<td>$3%$ 3%</td>
</tr>
<tr>
<td>$c_W$</td>
<td>0% 0%</td>
<td>1% 17%</td>
</tr>
<tr>
<td>$c_{\text{He}}$</td>
<td>10% 9%</td>
<td>4% 29%</td>
</tr>
<tr>
<td>$P_{\text{aux}}$</td>
<td>1% -1%</td>
<td>-1% 1%</td>
</tr>
<tr>
<td>$P_{\text{aux}}/P_{\text{sep}}$</td>
<td>$-3%$ 3%</td>
<td>$-5%$ 5%</td>
</tr>
<tr>
<td>$\eta_{\text{WP,CD}}$</td>
<td>$-3%$ 2%</td>
<td>0% 0%</td>
</tr>
<tr>
<td>$(\langle n \rangle)$</td>
<td>$-28%$ 30%</td>
<td>$5%$ -2%</td>
</tr>
<tr>
<td>$T_e$</td>
<td>$-2%$ 1%</td>
<td>$-1%$ 1%</td>
</tr>
</tbody>
</table>

TABLE II: Relative impact of a modification of ±10% of a number of input parameters on the net electric power and pulse duration: All PROCESS runs have been carried out with fixed major radius and optimising the ratio fusion power and injection power [7].
FIG. 3: Dependence of the major radius $R_0$ on $f_{\text{LH}}$ for different values of $\frac{P_{\text{sep}}}{R_0}$ and respecting the limits of Nb$_3$Sn superconductor and magnet structural steels (based on ITER magnets) [13]. The uncertainty in the L-H threshold power is around 50% for DEMO scenarios [11]. Improved divertor capability therefore provides a mitigation against H-mode being harder to access than expected.

plant design seldom leans on all performance limits simultaneously and assuming that it
does can lead to confounding results [12]. Thus understanding which limits (engineering
and physics) are being pushed enables research effort to be focused where it will have
the greatest effect on machine design. An example is shown in figure 3. This figure
shows the interaction of divertor load limits (represented as $\frac{P_{\text{sep}}}{R_0}$) and quality of H-mode,
represented by the power across the separatrix divided by the H-mode threshold power
$f_{\text{LH}} = \frac{P_{\text{sep}}}{P_{\text{LH}}}$ [11]. Increasing the divertor power limit allows a better H-mode to be obtained
and a marginally smaller machine to be designed for a given magnetic field limit (here
applied at the coils, and a function of superconductor performance and material stress
limits). However, attempting to improve the H-mode at a given divertor power limit
forces the solution towards a lower $B_T$ (for a fixed $\frac{P_{\text{sep}}}{R_0}$, applying the Martin scaling gives
$f_{\text{LH}} \sim B^{-1.5}$) and the machine size must increase to recover target performance.

An additional complication arises from considering the forces on the TF coils, for
which performance is limited not just by the superconductor but also the strength of the
structural materials. Further work has shown that dramatic improvements in supercon-
ductor performance (for example, using HTS) are soon rendered ineffective unless much
stronger materials can also be identified.

4 Creating a DEMO ‘conceptual risk’ register

One further reason that it is important to gain a good handle on how uncertainties af-
flect plant performance and hence design margins is to consider the steps from ITER to
a power plant. Currently EU DEMO assumes relatively low performance (compared to
many other international demonstration plant designs) in order to maximise the chances of successful operation. This approach is driven by current physics knowledge and technology limitations, and results in a large and thus expensive system, particularly making the engineering of remote handling and magnet manufacture more difficult. However, working back from an attractive power plant to consider the target performance of DEMO and ITER results in a design point for DEMO which requires advanced plasma performance and has very little margin for underperformance. The conceptual design process must iterate from both directions to find a DEMO which is technologically achievable, has robust performance scenarios, and offers a convincing step towards a power plant. To identify this design point we must minimise the effects of uncertainties on the final system through careful design and experiments which reduce the uncertainties in key areas.

The performance uncertainties described here, and their knock-on effects on plant design and performance, are summarised in a ‘conceptual risk’ register. This contains entries for the main areas of uncertainty and unresolved problems in future physics and system performance for DEMO; summaries of the consequences on overall plant output if unresolved before the plant design is finalised, or effects on the design to recover output if they are considered unresolvable; and possible mitigation routes. The purpose of this document is to make explicit the design choices and areas where improved modelling and DEMO-relevant experiments will have the greatest impact on confidence in a successful DEMO design. As risks are closed out through improved modelling, design, and experimental data, it will also act as a record of why some fundamental design choices were made. One example entry covers the risk posed by unmitigated disruptions, in which the wall load may reach twice the W melt threshold. A potential solution is to fit mitigation systems at the cost of additional vessel penetrations and loss of tritium-breeding wall area. An alternative solution could be the identification of well-understood and -controlled scenarios which are far from disruptivity, but whose lower fusion power density would require changes to the radial build of the machine. In order to properly understand these risks, a programme of DEMO-relevant experiments aimed at developing low-disruptivity scenarios with significantly-limited diagnostic and control capabilities (compared to existing experimental devices) needs to be instituted.

5 Conclusions

In order to have confidence that (a) the design of DEMO is a suitable step to a power plant and (b) DEMO will effectively demonstrate target performance, we require both a reasonable image of a future power plant extrapolated from current experimental and projected DEMO results and an assessment of how uncertainties in current models extrapolate through these systems. We must design-in sufficient performance margin that the expected likely actual performance range allows us to achieve targets. This work provides a framework for evaluating the effectiveness of the performance margins, and outlines steps being taken towards making the DEMO design much more robust and allowing us to target research where it has the most effect in increasing confidence in DEMO, allowing us to reduce margins and potentially make DEMO cheaper. This work is intended to
make explicit the design choices and areas where improved modelling and DEMO-relevant experiments will have the greatest impact on confidence in a successful DEMO design.

6 Acknowledgements

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